



J816 Rec'd PCT/PTO

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23 APR 2002

#5

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Mika Martikainen  
Serial No.: 10/018,986  
For: PROCESS FOR MAKING HIGH DENSITY AND LARGE PARTICLE SIZE  
COBALT HYDROXIDE OR COBALT MIXED HYDROXIDES  
AND A PRODUCT MADE BY THIS PROCESS  
Filed: December 19, 2001  
Examiner: Not Yet Assigned  
Art Unit: not yet assigned  
Confirmation No.: 4693

Attorney Docket No.: 308.7553USU

**BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XXX** Declaration and Power of Attorney executed by Mika Martikainen;
- \_\_\_ The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;
- \_\_\_ \_\_\_\_\_ Sheets of Formal Drawings;
- XXX** An Assignment of the Invention to : **OMG FINLAND OY**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- \_\_\_ A Certified Copy of the Priority Application;
- \_\_\_ Information Disclosure Statement (Form - 1449); and
- XXX** A copy of the Notice to File Missing Parts of Application Filing Date Granted.

\_\_\_\_ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$740.00
Total Claims	10 - 20 =	0	x \$18.00	\$0
Independent Claims	1 - 3 =	0	x \$84.00	\$0
Multiple Dependent Claim Fee		x \$280.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$740.00</b>		

1/2 FILING FEE FOR SMALL ENTITY

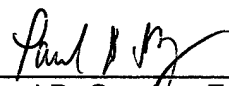
Repln. Ref: 05/20/2002 BCAMPBEL 0017344300  
N/A

DA# 010467 Name/Number: 10018986

**XXX** A check in the amount of \$ 780.00 to cover the surcharge is enclosed. \$610.00 CR

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

April 12, 2002  
Date

  
Paul D. Greeley, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Attorney for Applicant(s)  
Registration No. 31,019  
Telephone: (203) 327-4500  
Telefax: (203) 327-6401

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON APRIL 12, 2002.

Kenroy A. Browne  
NAME.

  
SIGNATURE

04/12/02  
DATE

04/29/2002 GFREY1 00000045 10018986

01 FC:154 130.00 DP  
02 FC:198 650.00 DP

Adjustment date: 05/20/2002 BCAMPBEL

04/29/2002 GFREY1 00000045 10018986

02 FC:198 -650.00 DP



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 101  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/018,986	Mika Martikainen	308.7553USU

INTERNATIONAL APPLICATION NO.	
PCT/FI00/00581	
IA. FILING DATE	PRIORITY DATE
06/28/2000	06/29/1999

Paul D Greeley  
Ohlandt Greeley Ruggiero & Perle  
One Landmark Square 10th Floor  
Stamford, CT 06901-2682

CONFIRMATION NO. 4693

371 FORMALITIES LETTER



\*OC000000007527042\*

Date Mailed: 02/25/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments

RECEIVED

MAR 04 2002

OHLANDT GREELEY  
RUGGIERO & PERLE

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
10/018,986	PCT/FI00/00581	308.7553USU

FORM PCT/DO/EO/905 (371 Formalities Notice)